

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/782.366

02/13/2001

Paul Birnbrich

C 2220 COGG

CONFIRMATION NO. 9714

23657 COGNIS CORPORATION 2500 RENAISSANCE BLVD., SUITE 200 GULPH MILLS, PA 19406

FORMALITIES LETTER OC000000005880950°

Date Mailed: 03/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT Case C 2220 COGG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of

Birnbrich et al.

Serial No. 09/782,366

Filed: 02/13/2001

Examiner: Unknown

Art Unit: 1771

TITLE: HYDROPHILIC ADDITIVE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on 8-14-01.

August 14, 2001

Date

Signature of certifier

Rose A. Stowe

Typed or printed name of certifier

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted, mailed March 20, 2001, enclosed herewith is a new Declaration identifying the above captioned application by reference to a "specification...attached hereto." I hereby certify that, to the best of my bona fide knowledge and belief, (i) the specification accompanying these papers is a true copy of the specification of the application further identified in caption above and filed on the date indicated in said caption, except for any handwritten Serial Number and filing date that may have been added to the accompanying copy on its first page; (ii) the accompanying specification copy was in the physical proximity of each inventor at the time of signing the enclosed Declaration, as required by M.P.E.P. § 605.04; and (iii) the enclosed Declaration is exactly as signed by the inventor(s), except for any handwritten Serial Number and filing date that may

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have been added to the first page thereof. (Handwritten Serial Number and filing date, if added, have been added to facilitate identification in case the accompanying papers should become separated from one another during processing in the Patent and Trademark Office.)

Please charge our **Deposit Account No. 50-1177** in the amount of \$130.00 for the surcharge for filing a declaration on a date later than the filing date of the application. **Order No. 01-0508.** A triplicate copy of this page is enclosed for fee purposes.

It is believed that the accompanying papers together with this response constitute an adequate identification of the specification to which the enclosed Declaration refers under M.P.E.P. 601.01 and a complete and timely response to the Notice of File Missing Parts under C.F.R. §§ 1.53(d), and 1.136 if applicable. It is requested that the undersigned be notified promptly of any perceived deficiency in the response.

In addition, we are also enclosing a certified copy of German Patent Application No. 100 15 554.5, filed March 30, 2000, priority of which is claimed under 35 U.S.C. 119, which was not available at the time of the original filing.

Respectfully submitted,

John E. Drach (Reg. No. 32,891)

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JED/ras

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